OHIO ACCESS TO JUSTICE FOUNDATION

Ohio Access to Justice Foundation

IOLTA/IOTA Financial Institution Certification Statement

Please ensure that you complete all of the following: (1) designate the appropriate election below; (2) provide the supporting documentation which is requested on the next page; and (3) complete and sign this Certification Statement to verify your status to hold IOLTA/IOTA consistent with Ohio Revised Code Sections 4705.10 and 3953.231. **This form must be completed and signed by an Authorized Officer and returned to the Ohio Access to Justice Foundation.**

FINANCIAL INSTITUTION NAME: _____

Part I: Comparability Election

We have reviewed the following comparability options available to financial institutions eligible to hold IOLTA/IOTA products in Ohio, and make the following election:

We elect to participate in the Prime Partner Program:

Note: Financial institutions can streamline compliance by enrolling as a **Platinum** or **Gold** Prime Partner. These partner levels meet the Foundation's Safe Harbor Interest rate standard and are automatically considered compliant with Ohio Revised Code Sections 4705.10 and 3953.231, eliminating the need for annual certification.

◉ **Platinum Prime Partner** (Safe Harbor): Pay a minimum net yield of 75% of the Federal Funds Target Rate or 0.75%, whichever is higher. *(Skip to Part III.)*

○ **Gold Prime Partner** (Safe Harbor): Pay a minimum net yield of 65% of the Federal Funds Target Rate or .65%, whichever is higher. *(Skip to Part III.)*

○ **Honor Roll:** Pay a minimum of 10 bps over the highest interest rate for comparable non-IOLTA/IOTA depositors and waive fees. (Additional information required, continue to Part II.)

Product Type:
Product Name:

Balance	Interest Rate	Tier
		Tier 1, or All Balances
		Tier 2, if any
		Tier 3, if any
		Tier 4, if any
		Tier 5, if any

We do not elect to participate in the Prime Partner Program but want to be recognized as an institution eligible to hold IOLTA/IOTAs.

○ **Eligible Institution:** Pay the following rate structure on all IOLTA/IOTA, which is the highest interest rate available to comparable non-IOLTA/IOTA depositors. (Additional information required, continue to Part II.)

Product Type:
Product Name:

Balance	Interest Rate	Tier
		Tier 1, or All Balances
		Tier 2, if any
		Tier 3, if any
		Tier 4, if any
		Tier 5, if any

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Part II: Non-IOLTA/IOTA *(not required for Platinum and Gold Prime Partners)*

All Honor Roll and Eligible Institutions **must** provide the following in order to meet Ohio's interest rate comparability requirement.

Indicate whether your financial institution offers sweep products (e.g. ICS, Repo): ◉ Yes ○ No

Provide all of the following:

- Internal Rate Sheet for all deposit/investment accounts
  - To include, but not limited to, consumer/retail, small business, corporate, government, wealth management, and sweep products, as they are categorized at your institution.
- Internal Deposit Accounts Interest Rate Specifications Report
- Explanatory Product Literature and Disclosures
- Product Restrictions or Special Requirements

Part III: Financial Institution Certification

We have completed our election above and provided all required documentation to confirm our eligibility to hold IOLTA/IOTA consistent with Ohio Revised Code Sections 4705.10 and 3953.231. Please complete the information below:

Name of Financial Institution:	
Address:	
City, State, Zip:	
FEIN:	

Certification Contact Name:	
Title:	
Email:	
Phone:	

Remittance Contact Name:	
Title:	
Email:	
Phone:	

As the administrator of the Ohio IOLTA/IOTA program, the Ohio Access to Justice Foundation will review the information provided to determine whether a particular product or rate is comparable pursuant to Ohio law.

Submissions missing any required documentation will be considered incomplete and may delay the certification process. Please double-check that all requirements have been met before submitting your application.

By signing below, I certify that the above information is true and accurate:

Signature:_____ Date: _____